

## AB. OUR ISO 14001 CONFORMANCE AUDIT QUESTIONNAIRES

### 9. ASSESS HOW WELL YOU CONFORM TO ISO'S EVALUATION REQUIREMENTS

9.1 DETERMINE YOUR ENVIRONMENTAL PERFORMANCE AND COMPLIANCE			
9.1.1 INVESTIGATE YOUR ORGANIZATION'S ENVIRONMENTAL PERFORMANCE			
1	Do you plan how to investigate your organization's environmental performance?		
2	Do you plan how to <i>monitor</i> your organization's environmental performance?		
3	Did you figure out what needs to be monitored and did you select methods?		
4	Did you determine your environmental monitoring requirements?		
5	Did you select monitoring methods that can produce valid results?		
6	Did you establish when monitoring should be done and who should do it?		
7	Do you record monitoring results and retain and control these records?		
8	Do you plan how to <i>measure</i> your organization's environmental performance?		
9	Did you figure out what needs to be measured and did you select methods?		
10	Did you determine your environmental measurement requirements?		
11	Did you select measurement methods that can produce valid results?		
12	Did you establish when measuring should be done and who should do it?		
13	Do you record measurement results and do you retain and control records?		
14	Do you plan how to <i>analyze</i> your organization's environmental performance?		
15	Did you select analytical methods that are capable of producing valid results?		
16	Do you decide when monitoring and measurement results should be analyzed?		
17	Do you record analytical results and do you retain and control these records?		
18	Do you plan how to <i>evaluate</i> your organization's environmental performance?		
19	Do you select evaluation methods that are capable of producing valid results?		

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20	Do you establish criteria to evaluate environmental performance?		
21	Do you establish indicators to evaluate environmental performance?		
22	Do you decide when monitoring and measurement results should be evaluated?		
23	Do you record evaluation results and do you retain and control records?		
24	Do you investigate your organization's environmental performance?		
25	Do you monitor your organization's environmental performance?		
26	Do you ensure that monitoring activities meet requirements?		
27	Do you use only verified or calibrated monitoring equipment?		
28	Do you maintain your monitoring equipment (as appropriate)?		
29	Do you measure your organization's environmental performance?		
30	Do you ensure that measurement activities meet requirements?		
31	Do you use only verified or calibrated measurement equipment?		
32	Do you maintain your measurement equipment (as appropriate)?		
33	Do you analyze your organization's environmental performance?		
34	Do you evaluate your organization's environmental performance?		
35	Do you evaluate performance against environmental performance criteria?		
36	Do you evaluate effectiveness of the environmental management system?		
37	Do you communicate your organization's environmental performance?		
38	Do you ensure that your communications meet compliance obligations?		
39	Do you use EMS communication processes to control communications?		
40	Do you discuss environmental performance within your own organization?		
41	Do you discuss environmental performance with relevant external parties?		

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9.1.2 EVALUATE YOUR ORGANIZATION'S ENVIRONMENTAL COMPLIANCE			
42	Do you plan how to find out if compliance obligations are being met?		
43	Did you determine how often environmental compliance will be evaluated?		
44	Did you establish suitable environmental compliance evaluation processes?		
45	Did you implement suitable environmental compliance evaluation processes?		
46	Do you evaluate how well your organization meets its compliance obligations?		
47	Do you establish the status of your organization's environmental compliance?		
48	Do you establish knowledge about the status of your compliance?		
49	Do you ensure that people maintain their understanding of this status?		
50	Do you record your evaluation results and retain and control these records?		
51	Do you maintain suitable environmental compliance evaluation processes?		
52	Do you take action to resolve environmental compliance shortcomings?		
9.2 AUDIT YOUR ORGANIZATION'S ENVIRONMENTAL MANAGEMENT SYSTEM			
9.2.1 CONDUCT EMS CONFORMANCE AUDITS AND DOCUMENT YOUR RESULTS			
53	Do you conduct internal EMS conformance audits at planned intervals?		
54	Do you determine if your organization's EMS meets requirements?		
55	Do you see if your EMS meets your organization's requirements?		
56	Do you see if your EMS meets ISO 14001 2015 requirements?		
57	Do you examine the effectiveness of your organization's EMS?		
58	Do you see if your organization's EMS was effectively implemented?		
59	Do you see if your organization's EMS is being effectively maintained?		

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9.2.2 ESTABLISH INTERNAL AUDIT METHODS, SCHEDULES, AND REQUIREMENTS			
60	Did you plan the development of your internal audit program?		
61	Did you develop a program that can find out if your EMS meets requirements?		
62	Can your program determine if EMS meets your organization's requirements?		
63	Can your program determine if EMS meets ISO 14001 2015 requirements?		
64	Did you develop a program that can determine if your EMS is effective?		
65	Did you establish an internal audit program for your organization?		
66	Did you establish internal audit responsibilities?		
67	Did you establish internal audit methods?		
68	Do you expect auditors to be objective?		
69	Do you expect auditors to be impartial?		
70	Did you establish internal audit planning requirements?		
71	Do you expect auditors to consider the results of previous audits?		
72	Do you expect auditors to consider the changes affecting your organization?		
73	Do you expect auditors to consider the environmental importance of processes?		
74	Did you establish internal audit reporting requirements?		
75	Do you expect auditors to report results to management?		
76	Do you establish internal audit schedules?		
77	Do you expect audits to be done at planned intervals?		
78	Did you implement your organization's internal audit program?		
79	Do you define the scope for each internal audit?		
80	Do you specify audit criteria for each internal audit?		
81	Do you select impartial and objective internal auditors?		

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82	Do you carry out internal audits at planned intervals?		
83	Do you report internal audit results to management?		
84	Do you maintain your organization's internal audit program?		
85	Do you retain documented information about your audit program?		
86	Do you retain your internal audit results and do you control these results?		
87	Do you retain a record of implementation and do you control these records?		

#### 9.3 REVIEW YOUR ORGANIZATION'S ENVIRONMENTAL MANAGEMENT SYSTEM

88	Do you review your organization's EMS at regular intervals?		
89	Do you review EMS suitability, adequacy, and effectiveness?		
90	Do you review the status of your previous management reviews?		
91	Do you review the status of the actions that were previously taken?		
92	Do you review how well environmental objectives are being achieved?		
93	Do you review relevant communications from interested parties?		
94	Do you review complaints received from interested parties?		
95	Do you review environmental performance (including trends)?		
96	Do you review previous audit results and activities?		
97	Do you review monitoring and measurement results?		
98	Do you review nonconformities and corrective actions?		
99	Do you review environmental compliance obligations?		
100	Do you review how well obligations are being met?		
101	Do you review changes in aspects, obligations, issues, and risks?		
102	Do you review changes in your significant environmental aspects?		

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103	Do you review changes in external and internal issues relevant to your EMS?		
104	Do you review changes in the needs and expectations of interested parties?		
105	Do you review changes in your environmental compliance obligations?		
106	Do you review changes in the risks that affect environmental performance?		
107	Do you review changes in your organization's environmental opportunities?		
108	Do you review potential continual improvement opportunities?		
109	Do you review the strategic direction that your EMS is taking?		
110	Do you review the adequacy of your EMS resources?		
111	Do you generate appropriate management review outputs?		
112	Do you draw conclusions about your organization's EMS?		
113	Do you consider EMS suitability, adequacy, and effectiveness?		
114	Do you identify ways of improving EMS integration with other processes?		
115	Do you make decisions about your organization's EMS?		
116	Do you consider continual improvement opportunities?		
117	Do you consider whether you need to change your EMS?		
118	Do you consider whether you need to change EMS resources?		
119	Do you define actions to improve environmental performance?		
120	Do you consider how well EMS objectives are being achieved?		
121	Do you take action whenever objectives aren't being achieved?		
122	Do you consider implications for your overall strategic direction?		
123	Do you document the results of your management reviews?		
124	Do you retain and control your management review records?		

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