

## ISO 45001 2018 OHS AUDIT TOOL

### 9. ASSESS HOW WELL YOU CONFORM TO ISO'S EVALUATION REQUIREMENTS

#### 9.1 ESTABLISH PROCESSES TO MONITOR, MEASURE, ANALYZE, AND EVALUATE YOUR OHSMS

##### 9.1.1 ESTABLISH PROCESSES TO MONITOR, MEASURE, ANALYZE, AND EVALUATE PERFORMANCE

1	Do you develop processes to examine OH&S performance?	Y	N	X	
2	Do you plan how you're going to examine your OH&S performance?	Y	N	X	
3	Do you plan how you're going to monitor your OH&S performance?	Y	N	X	
4	Do you figure out what needs to be monitored and do you select methods?	Y	N	X	
5	Do you determine your OH&S monitoring needs and requirements?	Y	N	X	
6	Do you select monitoring methods that can produce valid results?	Y	N	X	
7	Did you establish when OH&S monitoring should be done?	Y	N	X	
8	Do you plan how you're going to measure your OH&S performance?	Y	N	X	
9	Do you figure out what needs to be measured and do you select methods?	Y	N	X	
10	Do you determine your OH&S measurement needs and requirements?	Y	N	X	
11	Do you select measurement methods that can produce valid results?	Y	N	X	
12	Did you establish when OH&S measuring should be done?	Y	N	X	
13	Do you plan how you're going to analyze your OH&S performance?	Y	N	X	
14	Do you select analytical methods that are capable of producing valid results?	Y	N	X	
15	Do you decide when monitoring and measurement results should be analyzed?	Y	N	X	
16	Do you plan how you're going to evaluate your OH&S performance?	Y	N	X	
17	Do you select evaluation methods that are capable of producing valid results?	Y	N	X	
18	Did you establish criteria that you can use to evaluate your OH&S performance?	Y	N	X	
19	Do you decide when monitoring and measurement results should be evaluated?	Y	N	X	

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20	Do you plan how you're going to communicate your OH&S performance?	Y	N	X	
21	Do you decide when monitoring results should be communicated?	Y	N	X	
22	Do you decide when measurement results should be communicated?	Y	N	X	
23	Did you implement processes to examine OH&S performance?	Y	N	X	
24	Do you monitor your organization's OH&S performance?	Y	N	X	
25	Do you monitor progress towards the achievement of OH&S objectives?	Y	N	X	
26	Do you monitor the effectiveness of your operational and other controls?	Y	N	X	
27	Do you monitor the extent to which OH&S requirements are being met?	Y	N	X	
28	Do you monitor the extent to which legal requirements are being met?	Y	N	X	
29	Do you monitor the extent to which other requirements are being met?	Y	N	X	
30	Do you monitor all of the activities and operations related to OH&S?	Y	N	X	
31	Do you monitor activities and operations related to identified risks?	Y	N	X	
32	Do you monitor activities and operations related to identified hazards?	Y	N	X	
33	Do you monitor activities and operations related to identified opportunities?	Y	N	X	
34	Do you measure your organization's OH&S performance?	Y	N	X	
35	Do you measure progress towards the achievement of OH&S objectives?	Y	N	X	
36	Do you measure the effectiveness of your operational and other controls?	Y	N	X	
37	Do you measure the extent to which OH&S requirements are being met?	Y	N	X	
38	Do you measure the extent to which legal requirements are being met?	Y	N	X	
39	Do you measure the extent to which other requirements are being met?	Y	N	X	
40	Do you measure all of the activities and operations related to OH&S?	Y	N	X	

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41	Do you measure activities and operations related to identified risks?	Y	N	X	
42	Do you measure activities and operations related to identified hazards?	Y	N	X	
43	Do you measure activities and operations related to identified opportunities?	Y	N	X	
44	Do you analyze your organization's OH&S performance?	Y	N	X	
45	Do you analyze the results of your monitoring activities?	Y	N	X	
46	Do you analyze the results of your measuring activities?	Y	N	X	
47	Do you evaluate your organization's OH&S performance?	Y	N	X	
48	Do you evaluate OH&S performance against OH&S performance criteria?	Y	N	X	
49	Do you evaluate the effectiveness of your organization's OHSMS?	Y	N	X	
50	Do you communicate your organization's OH&S performance?	Y	N	X	
51	Do you use your communication processes to control communications?	Y	N	X	
52	Do you discuss your OH&S performance within your own organization?	Y	N	X	
53	Do you discuss your OH&S performance with relevant external parties?	Y	N	X	
54	Do you maintain processes to examine OH&S performance?	Y	N	X	
55	Do you maintain monitoring and measuring processes?	Y	N	X	
56	Do you maintain your monitoring and measuring equipment?	Y	N	X	
57	Do you use only verified or calibrated monitoring equipment?	Y	N	X	
58	Do you maintain your monitoring equipment (as appropriate)?	Y	N	X	
59	Do you use only verified or calibrated measurement equipment?	Y	N	X	
60	Do you maintain your measurement equipment (as appropriate)?	Y	N	X	
61	Do you retain records that document equipment calibration activities?	Y	N	X	

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62	Do you retain records that document equipment verification activities?	Y	N	X	
63	Do you retain records that document equipment maintenance activities?	Y	N	X	
64	Do you maintain information management processes?	Y	N	X	
65	Do you maintain your OH&S records and documents?	Y	N	X	
66	Do you record analytical results and retain these records?	Y	N	X	
67	Do you record evaluation results and retain these records?	Y	N	X	
68	Do you record monitoring results and retain these records?	Y	N	X	
69	Do you record measurement results and retain these records?	Y	N	X	

#### 9.1.2 ESTABLISH PROCESSES TO EVALUATE COMPLIANCE WITH LEGAL AND OTHER REQUIREMENTS

70	Did you establish processes for evaluating your organization's OH&S compliance?	Y	N	X	
71	Do you develop processes for evaluating your organization's OH&S compliance?	Y	N	X	
72	Did you establish methods for evaluating your organization's OH&S compliance?	Y	N	X	
73	Do you plan how you're going to evaluate compliance with legal requirements?	Y	N	X	
74	Do you plan how you're going to evaluate compliance with other requirements?	Y	N	X	
75	Do you determine how often compliance evaluations should be carried out?	Y	N	X	
76	Did you implement processes for evaluating your OH&S compliance?	Y	N	X	
77	Do you evaluate compliance with legal and other requirements?	Y	N	X	
78	Do you determine the status of your organization's compliance?	Y	N	X	
79	Did you establish knowledge and understanding of your compliance?	Y	N	X	
80	Do you maintain knowledge about compliance with legal requirements?	Y	N	X	
81	Do you maintain knowledge about compliance with other requirements?	Y	N	X	

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82	Do you take action whenever requirements are not being met?	Y	N	X	
83	Do you maintain processes for evaluating your OH&S compliance?	Y	N	X	
84	Do you maintain and retain a record of compliance evaluation results?	Y	N	X	

#### 9.2 DETERMINE INTERNAL OH&S AUDIT REQUIREMENTS, RESPONSIBILITIES, AND METHODS

##### 9.2.1 AUDIT THE CONFORMANCE OF YOUR ORGANIZATION'S OHSMS AT PLANNED INTERVALS

85	Do you conduct internal OHSMS conformance audits at planned intervals?	Y	N	X	
86	Do you determine if your organization's OHSMS meets requirements?	Y	N	X	
87	Do you see if your OHSMS meets the requirements of this ISO standard?	Y	N	X	
88	Do you see if your OHSMS meets your organization's own requirements?	Y	N	X	
89	Do you see if your OHSMS is applying your organization's OH&S policy?	Y	N	X	
90	Do you see if your OHSMS is achieving your organization's OH&S objectives?	Y	N	X	
91	Do you examine the effectiveness of your organization's OHSMS?	Y	N	X	
92	Do you see if your organization's OHSMS was effectively implemented?	Y	N	X	
93	Do you see if your organization's OHSMS is being effectively maintained?	Y	N	X	

##### 9.2.2 ESTABLISH, IMPLEMENT, AND MAINTAIN AN INTERNAL OHSMS AUDIT PROGRAMME

94	Do you plan your organization's internal audit programme?	Y	N	X	
95	Did you develop a programme that can find out if your OHSMS meets requirements?	Y	N	X	
96	Did you make sure it can determine if it meets your organization's requirements?	Y	N	X	
97	Did you make sure it can determine if it meets the ISO 45001 2018 requirements?	Y	N	X	
98	Did you develop a programme that can determine if your OHSMS is effective?	Y	N	X	
99	Did you establish an internal audit programme for your organization?	Y	N	X	

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100	Did you establish your audit methods and expectations?	Y	N	X	
101	Did you establish internal audit norms and values?	Y	N	X	
102	Do you expect internal auditors to be objective?	Y	N	X	
103	Do you expect internal auditors to be impartial?	Y	N	X	
104	Did you establish internal audit planning expectations?	Y	N	X	
105	Do you expect auditors to consider the results of previous internal audits?	Y	N	X	
106	Do you expect auditors to consider the importance of processes being audited?	Y	N	X	
107	Did you establish internal audit duties and responsibilities?	Y	N	X	
108	Do you expect auditors to specify criteria for each audit?	Y	N	X	
109	Do you expect auditors to define the scope of each audit?	Y	N	X	
110	Do you expect auditors to consult with interested parties?	Y	N	X	
111	Do you expect auditors to report the results of each audit?	Y	N	X	
112	Do you expect auditors to report results to management?	Y	N	X	
113	Do you expect auditors to report results to interested parties?	Y	N	X	
114	Do you expect auditors to report results to fellow workers?	Y	N	X	
115	Do you expect them to report to worker representatives?	Y	N	X	
116	<b>Etcetera ...</b>	Y	N	X	

**Now that you've seen a sample of our approach, please consider purchasing our complete ISO 45001 2018 OH&S Audit Tool (Title 66). If you purchase our audit tool, you'll find that it's integrated, detailed, exhaustive, and easy to understand. We guarantee it. Title 66 comes in both Word and pdf file formats.**

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